

METHOD AND SYSTEM FOR PROCESSING FAULT HYPOTHESES

5 Cross-Reference to Related Application:

This application is a continuation, under 35 U.S.C. § 120, of
copending international application No. PCT/EP02/10705, filed
September 24, 2002, which designated the United States; this
application also claims the priority, under 35 U.S.C. § 119,
10 of German patent application No. 101 46 901.2, filed September
24, 2001; the prior applications are herewith incorporated by
reference in their entirety.

Background of the Invention:

15 Field of the Invention:

The invention relates to a method and a system for determining
fault causes and for verification of them in the course of a
model-based fault cause analysis. The invention is suitable
for assisting in fault cause analysis in the case of a fault
20 event in a technical installation, or in a technical process
which is carried out by the technical installation.

Model-based fault cause analysis is described, for example, in
the reference by G. Vollmar, R. Milanovic, J. Kallela, titled
25 "Model-Based Root Cause Analysis", Conference proceedings,
2001 Machinery Reliability Conference, April 2-4, Phoenix

Arizona, published by RELIABILITY Magazine, c/o Industrial Communications, Inc. 1704 Natalie Nehs, Dr. Knoxville, TN 37931 USA. When a fault occurs, the method provides a fault analyst with information in such a way that he can find the fault cause quickly and specifically. To do this, the fault analyst requires a computer which is equipped with a web browser and which can access the fault cause analysis models via an Internet link. A fault model is a hierarchical, tree-like structure. The uppermost level contains the fault event. The levels below this contain nodes which each represent hypotheses. These nodes are linked to one another like a tree. Each node has a checklist, which can be used to verify or negate hypotheses. A checklist is composed of a number of checklist items. The checklist items give instructions as to what information the analyst needs and how he must process it in order to verify the hypothesis. When searching for a malfunction in an installation, the fault analyst navigates from node to node and checks his installation on the basis of the attached checklists. If he accepts a hypothesis in this way, he navigates to the fault model on which it is based and to the fault that has led to the malfunction in his installation.

However, the processing of the checklist items for verification of fault hypotheses may be highly complex. All the valid data must be gathered and processed. The data which

has to be used for processing is often no longer available or can be obtained only with difficulty and in a time-consuming manner. Quite often, the data must be processed further by use of complex mathematical functions in order to produce
5 valid information. Problems occur in particular when the time required to do this is very long, or when no specialist is available for this task.

Control systems and databases which store signals with a time
10 reference in principle have data that can be used for verification of hypotheses. There are also software programs, which can compress and process this data to form higher-quality information. However, the known procedure for fault cause analysis is still subject to the significant
15 disadvantage that the information from control systems and their databases is not automatically made accessible to systems for fault cause analysis, so that this does not allow computer-aided verification of hypotheses, either.

20 Summary of the Invention:

It is accordingly an object of the invention to provide a method and a system for processing fault hypotheses which overcome the above-mentioned disadvantages of the prior art methods and devices of this general type, which automates the
25 fault hypothesis analysis.

With the foregoing and other objects in view there is provided, in accordance with the invention, a method for the automated processing of fault hypotheses in a course of a fault cause analysis in a case of a fault event in a technical installation. The method includes providing a data processing system that uses knowledge-based models for the fault cause analysis and physical models of installation functions and processes carried out by the technical installation. The data processing system has a first unit for calculating and storing installation and process states and the first unit has access to the physical models and to data relating to the technical installation stored in a data server. The data processing system further has a second unit for hypothesis processing and an input/output device. A user of the data processing system determines an existence of a fault hypothesis. The second unit is used for accessing results of a calculation of the installation and process states and for accessing a checklist of the knowledge-based models for automatically verifying the fault hypothesis on a basis of conditions associated with checklist items in the checklist. A verification result is entered for each checklist item in a result list, and the result list is output.

The invention accordingly relates to a method and a system for determining fault causes and for verifying them in the course of a fault cause analysis, including computer-aided processing

of checklist items on the basis of physical models for verification of hypotheses. The method and the system are suitable for assisting in the search for fault causes when fault events occur in industrial installations.

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On-line data from industrial information technology, that is to say from a control system or planning system for example, is in this case converted by physical models to higher-quality information for fault cause analysis in real time. The

10 physical models therefore provide the information that is required for processing checklist items. Ideally, all the checklist items can be processed automatically by physical models, thus verifying a predetermined fault hypothesis.

Results achieved in this way are expediently passed via an XML
15 interface to a system for fault cause analysis. During the processing of a fault tree, the hypotheses and checklist items that have already been processed by the models are signaled to a fault analyst.

20 With the foregoing and other objects in view there is provided, in accordance with the invention, a system for the automated processing of fault hypotheses in a course of a fault cause analysis in a case of a fault event in a technical installation. The system includes a data server storing data
25 relating to the technical installation, and a data processing system processing knowledge-based models for the fault cause

analysis and physical models of technical installation functions and processes which can be carried out by the technical installation. The data processing system contains a first unit for calculating and storing installation and process states. The first unit has access to the physical models and to the data stored in the data server for the technical installation. A second unit for hypothesis processing, and an input/output device connected to the second unit, are provided.

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In accordance with an added feature of the invention, the data processing system is configured such that once a user has predetermined a fault hypothesis, the second unit accesses results of a calculation of the installation and process states and accesses a checklist of the knowledge-based models to automatically verify the fault hypothesis on a basis of conditions which are associated with checklist items in the checklist, enters the verification result for each checklist item in a result list, and outputs the result list.

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Other features which are considered as characteristic for the invention are set forth in the appended claims.

Although the invention is illustrated and described herein as embodied in a method and a system for processing fault hypotheses, it is nevertheless not intended to be limited to

the details shown, since various modifications and structural changes may be made therein without departing from the spirit of the invention and within the scope and range of equivalents of the claims.

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The construction and method of operation of the invention, however, together with additional objects and advantages thereof will be best understood from the following description of specific embodiments when read in connection with the

10 accompanying drawings.

Brief Description of the Drawings:

Fig. 1 is a block diagram of a system for automatically processing a fault hypothesis according to the invention;

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Fig. 2 is a flow diagram showing a method for automatic processing of fault hypotheses;

Fig. 3 is an illustration of a physical model of a process;

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Fig. 4 is an illustration showing a fundamental description of a fault model;

Fig. 5 is a block diagram of a structure of a fault tree;

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Fig. 6 is a block diagram of the processing of a fault hypothesis of the power supply being too high; and

Fig. 7 is a block diagram showing an automatically verified
5 checklist.

Description of the Preferred Embodiments:

Referring now to the figures of the drawing in detail and first, particularly, to Fig. 1 thereof, there is shown a
10 system 1 for the automatic processing of fault hypotheses with the aid of physical models. The system contains an input/output device 10, a hypothesis processing device 20 and a data memory 30.

15 The input/output device 10 contains a model browser 11, by which a fault analyst can process knowledge-based models 33 which are based on fault trees and are referred to as root cause analysis (RCA) models. In particular, this allows a fault hypothesis to be predetermined, which can be verified
20 automatically by the system.

The hypothesis processing device 20 contains a processing device 21, which is referred to as a model engine, for physical models 31 and a hypothesis processor 22, which is
25 referred to in Fig. 1 as the RCA model navigator 22. The processing device 21 cyclically accesses process data provided

from a data server 40, calculates installation and process states after predetermining a physical model 31, and stores the result in a data memory area for calculation results 32.

While processing a hypothesis, the hypothesis processor 22

5 accesses the calculation results 32, as well as checklists for the knowledge-based models 33.

The data memory 30 contains memory areas with files in which the physical models 31 and the knowledge-based models 33 are

10 stored, and in which the calculation results 32 are stored.

Fig. 2 shows the method for automatic processing of fault hypotheses with the aid of the physical models that are illustrated in general form in Fig. 1 and in the form of an

15 example in Fig. 3. The fault analyst first navigates to a fault hypothesis in order to start the method. In method step 100, the hypothesis processor 22 loads the calculation results 32 which are required for verification of the hypothesis. In subsequent step 200, the hypothesis processor 22 also loads

20 the checklist for the relevant hypothesis from the knowledge-based models 33. Fig. 6 shows one example of a checklist such as this. In step 300, the hypothesis processor 22 compares the calculation results with the checklist items from the checklist. The checklist items for which models are stored are in this case evaluated automatically. Each checklist item
25 contains a condition for verification of the hypothesis. In

step 400, the hypothesis processor 22 identifies whether the checklist item does or does not satisfy the condition. As an example, Fig. 7 shows how a checklist is output after processing.

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By way of example, Fig. 3 shows the physical model of a chemical process in a reactor. The model is indicated in the form of a differential equation. The model describes the process parameters in the fault-free state. Using a model
10 such as this, a fault can be determined by comparison of the calculated parameter with the actually measured value. For example, the inlet and outlet temperatures of the cooling water may be calculated. If there is a discrepancy between the calculated outlet temperature and the measured value, an
15 appropriate equation system may be used to deduce a measured value fault, taking account of specific boundary conditions. The differential equation that is indicated may be used, for example, to diagnose a temperature measurement error by T_0 , and a leakage by V .

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Fig. 4 shows the fundamental description of a fault model as a knowledge-based model 33. The described uppermost level contains a process model with its process steps. Each process step may be subdivided into further process steps. Fault
25 events and critical components are associated with each process step. Once again, fault trees with nodes exist for

this purpose. The nodes in a fault tree represent fault hypotheses. A verification checklist represents a major component of the content of a fault hypothesis. The contents of a hypothesis are described in more detail in Fig. 5.

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Fig. 5 shows the structure of a fault tree. The model is hierarchical and, in its simplest version, contains two levels. The uppermost level represents the fault event. One fault event may be associated with a number of fault hypotheses. The logical relationship can be formulated as follows: one or more fault hypotheses may cause the fault event. The fault event and fault hypothesis have a similar content description. For deeper analysis, the fault hypothesis may refer to other fault models, that is to say a fault tree may be composed of a number of tree elements. The link is produced by the fault tree reference attribute.

Fig. 6 shows, by way of example, how the system presents a user with the fault hypothesis "power supply too high". A description of the fault hypothesis in this case explains the relationship between the fault and the possible cause. A localization process indicates the possible fault location; in the example, this is the reactor XY. The hypothesis is verified by processing a verification checklist. The tests "temperature measurement fault" and "leakage to the cooling casing" may be verified automatically by a physical model. A

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fault tree reference allows access to an associated fault tree for deeper diagnosis relating to the diagnosis criterion "incorrect operating instructions".

- 5 By way of example, Fig. 7 shows how an automatically verified checklist is displayed. Diagnosis criteria that have already automatically been verified negatively are in this case displayed in italics. A positively verified diagnosis criterion is displayed in bold text and is emphasized by
- 10 exclamation marks. Diagnosis criteria that are still to be tested are shown in bold text and with question marks.